REG LMS

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25/10/2023

CERTIFICATE OF COMPLETION

This is to certify that

ChangHong Fu

has successfully completed this course on

Client Money and Client Assets 2023

CPD(s): 1.5 CPD (90 min)

REG LMS

Christos Drakos
Trainer
ACCA Member: ID. 2899022
CySEC Advance: No. 5134
CySEC AML: No. 5134
HRDA Trainer: No. 3951

Client Money and Client Assets 2023

Outline of the course:

- This course is about Client Money and Client Assets and more precisely in relation to the rules of CySEC for the administration of client assets and client money, including the requirements to segregate and safeguard these assets.
- The syllabus is verified by external subject matter experts and can be accredited by regulators and other bodies for 90 min CPD Units that approve education in financial regulation.

The purpose of this course is to:

- Explain what client assets are
- Understand our obligations when handling client assets and client money
- Recognise the importance of segregation and reconciliation of client assets and client money
- Report or escalate any known or suspected breach of client money rules
- Understand the record-keeping requirements

Regulatory Framework:

- MiFID II
- Law 87(I)/2017
- CySEC Directive DI87-01
- C418, C458

Instructor:

Christos Drakos is currently CEO and Director to a number of Cyprus Investment Firms and Funds. He has extensive experience in Risk Management, Compliance and AML.
Christos is a certified trainer by HRDA (No. ΣΕΙΙ003951), has the Advance and AML Certificate by CySEC (No.5134) and Member of the ACCA (ID 2899022)