REG LMS

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25/10/2023

CERTIFICATE OF COMPLETION

This is to certify that

ChangHong Fu

has successfully completed this course on

Market Abuse Regulation (MAR) 2023

CPD(s): 1 CPD (60 min)

REG LMS

Christos Drakos
Trainer
ACCA Member: ID. 2899022
CySEC Advance: No. 5134
CySEC AML: No. 5134
HRDA Trainer: No. 3951

Market Abuse Regulation (MAR) 2023

Outline of the course:

- This course is about Market Abuse Regulation and more precisely in relation to Cyprus laws that safeguard financial market integrity and provide investor protection.
- The syllabus is verified by external subject matter experts and can be accredited by regulators and other bodies for 60 min CPD Units that approve education in financial regulation.

The purpose of this course is to:

- Recognise the types of behaviour classified as market abuse
- Take appropriate action to avoid dealing on the basis of inside information and prevent its unlawful disclosure
- Act with integrity and honesty to avoid market manipulation
- Observe the market rules in relation to insider lists, disclosure of managers' deals, research disclosures and safe harbours
- Know when and how to report suspicious transactions and blow the whistle

Regulatory Framework:

- Regulation EU 596/2014
- Regulation EU 522/2016
- C170, C171, C415, C510

Instructor:

Christos Drakos is currently CEO and Director to a number of Cyprus Investment Firms and Funds. He has extensive experience in Risk Management, Compliance and AML.
Christos is a certified trainer by HRDA (No. ΣΕΙΙ003951), has the Advance and AML Certificate by CySEC (No.5134) and Member of the ACCA (ID 2899022)